



# Application Process For Consolidator/3PL

# Application For C-TPAT Certification Details

- Certification is business-entity specific. If you have several eligible business divisions, you may have to apply multiple times if you wish to receive benefits for and promote each line as being C-TPAT certified. For example, a C-TPAT Certified Customs Broker that owns a truck does not mean that Broker is a C-TPAT Certified Highway Carrier.
- The C-TPAT Application is a two part process.
  - Part 1: The Company Profile, which gives the applicant a Partner Account in the C-TPAT Portal.
  - Part 2: The Security Profile, which has unique Security Criteria for each entity type. All sections must be answered in detail.
- The following instructions are applicable to the Third Party Logistics Provider and Consolidator Business Types.

# Page 1 of the C-TPAT Application



MINIMIZE SIDEBAR <<<

Create Company Profile

FULL OUTLINE STREAMLINED

- 1 Company Profile
  - 1.1 Business Type
  - 1.3 Company Information
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  - 1.6 Contacts
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CBP News: Counterfeit Perfume Seizures Total \$51 Million - 2/9/2012

## C-TPAT, Company Profile

NOTICE: THIS SYSTEM CONTAINS TRADE SECRETS AND COMMERCIAL AND FINANCIAL INFORMATION RELATING TO THE CONFIDENTIAL BUSINESS OF PRIVATE PARTIES. THE TRADE SECRETS ACT, (18 U.S.C. 1905), PROVIDES PENALTIES FOR DISCLOSURE OF SUCH INFORMATION. CBP EMPLOYEES WHO VIOLATE THIS ACT AND MAKE WRONGFUL DISCLOSURES OF CONFIDENTIAL COMMERCIAL INFORMATION MAY BE SUBJECT TO A PERSONAL FINE OF UP TO \$1,000, IMPRISONMENT FOR NOT MORE THAN ONE YEAR, OR BOTH, AND SHALL BE REMOVED FROM EMPLOYMENT. AN IMPROPER DISCLOSURE OF CERTAIN INFORMATION CONTAINED IN THIS SYSTEM WOULD CONSTITUTE A VIOLATION OF THE PRIVACY ACT (5 U.S.C. 552A). VIOLATORS COULD BE SUBJECT TO A FINE OF NOT MORE THAN \$5,000. INFORMATION CONTAINED IN THIS SYSTEM IS SUBJECT TO THE 3RD PARTY RULE AND MAY NOT BE DISCLOSED TO OTHER GOVERNMENT AGENCIES WITHOUT THE EXPRESS PERMISSION OF THE AGENCY SUPPLYING THE ORIGINAL INFORMATION.

PERWORK REDUCTION ACT STATEMENT: AN AGENCY MAY NOT CONDUCT OR SPONSOR AN INFORMATION COLLECTION AND A PERSON IS NOT REQUIRED TO RESPOND TO THIS INFORMATION UNLESS IT DISPLAYS A CURRENT VALID OMB CONTROL NUMBER. THE CONTROL NUMBER FOR THIS COLLECTION IS 1651-0077. THE ESTIMATED AVERAGE TIME TO COMPLETE THIS FORM IS 5 HOURS PER RESPONDENT. IF YOU HAVE ANY COMMENTS REGARDING THE BURDEN ESTIMATE YOU CAN WRITE TO U.S. CUSTOMS AND BORDER PROTECTION, INFORMATION SERVICES BRANCH, 1300 PENNSYLVANIA AVENUE, WASHINGTON DC 20229

Click this box to acknowledge that you are entering a U.S. DHS CBP system.

# Selecting a Business Type

CBP News: Bug Free Flower Imports for Your Sweetheart on Valentine's Day

## C-TPAT, Company Profile

### BUSINESS TYPE

Select the business type of the company joining the C-TPAT program.

**.1.1 Business Type**

If you have an Application Exception Token enter:

**.1.2 Application Exception Token**

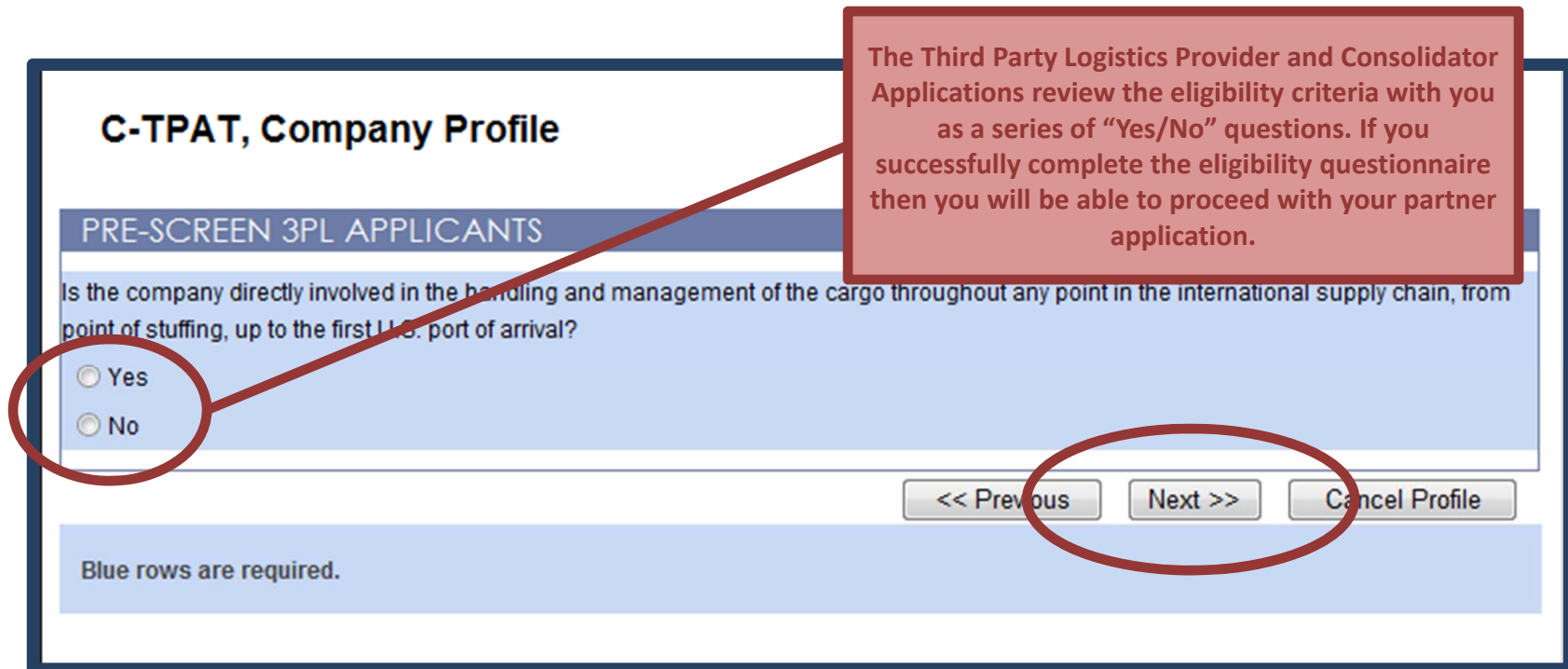
Blue rows are required.

Third Party Logistics Provider  
Please Select  
Air Carrier  
Consolidator  
Foreign Based Marine Port Terminal Operator  
Foreign Manufacturer  
Highway Carrier  
Importer  
Licensed U.S. Customs Broker  
Mexican Long Haul Highway Carrier  
Rail Carrier  
Sea Carrier  
Third Party Logistics Provider  
U.S. Marine Port or Terminal Operator

Next >> Cancel Profile

The drop down displays the business types that are eligible for C-TPAT. Please confirm eligibility before applying by reviewing the eligibility criteria listed on [www.cbp.gov/ctpat](http://www.cbp.gov/ctpat). Click "Next" when selection is complete.

# 3PL and Consolidator Eligibility Screen



**C-TPAT, Company Profile**

**PRE-SCREEN 3PL APPLICANTS**

Is the company directly involved in the handling and management of the cargo throughout any point in the international supply chain, from point of stuffing, up to the first U.S. port of arrival?

☐ Yes

☐ No

<< Previous   Next >>   Cancel Profile

Blue rows are required.

The Third Party Logistics Provider and Consolidator Applications review the eligibility criteria with you as a series of "Yes/No" questions. If you successfully complete the eligibility questionnaire then you will be able to proceed with your partner application.

# Company Information

**C-TPAT, Company Profile**

**COMPANY INFORMATION**

Enter the required company information below.

1.3.1 Company Name

1.3.2 Company Doing Business As

1.3.3 Company Telephone

1.3.4 Company Fax

1.3.5 Company Website Address

1.3.6 Brief Company History

Enter your company's information in the following fields. Blue highlighted rows are required. You will not be able to proceed without entering information into these fields. Click "Next" to continue.

< Previous Next >> Cancel profile

# Addresses

**PRIMARY ADDRESS**

Enter the primary address of the company.

1.4.1	Type	<div>Headquarters (none) Distribution Center Domestic Consolidation Warehouse Foreign Consolidation Warehouse Headquarters Main Office Other Terminal Truck Yard Warehouse</div>
1.4.2	Country/Territory	
1.4.3	Street Address	
1.4.4	Street Address 2	
1.4.5	City	
1.4.6	State	(none)
1.4.7	Zip/Postal Code	
1.4.8	Is the address also a mailing address?	<input type="checkbox"/>

**OTHER ADDRESSES**

There are no other addresses.

[Add New Address](#)

<< Previous   Next >>   Cancel Profile

Blue rows are required.

Please enter your primary address indicating what type of facility it represents. In addition please indicate if this is the mailing address.

The Application will allow you to enter multiple addresses. Please enter all that are associated with this application and click "Next" when complete.



# Additional Company Information

## C-TPAT, Company Profile

### ADDITIONAL COMPANY INFORMATION

Select the appropriate answers below.

1.5.1

Owner Type

Please Select ▾

1.5.2

Business Start Date

MM/DD/YYYY

1.5.3

Number of Employees

<< Previous

Next >>

Cancel Profile

Please select the ownership type (Corporation, Partnership, Sole Proprietor), enter the Business Start date and an estimated number of employees. Click "Next" when complete.



# Enter Contacts

**C-TPAT, Company Profile**

**COMPANY CONTACTS**

Enter all of the contacts from your company that will be participating in the C-TPAT program. Ensure that you have selected a designated Point of Contact (POC) who is an officer of the company.

**NOTE:** New contacts added who are not in the system will receive an email with a Set Password link.

**NOTE:** If you need to change the email address of an existing contact, please delete the existing contact, then add a new contact with the new email address.

**1.6.1**    Contacts

There are no contacts.

**CONTACT DETAILS**

**Add Contact**

<< Previous    Next >>    Cancel Profile

Blue rows are required.

24x7 Help Desk: 1-866-530-4172  
Choose Option 4

Entering contact information will create a User Account in Portal when saved unless a User Account already exists. Therefore each contact will have unrestricted access to your application and account. If an email address has already been entered into Portal (i.e. a saved application or account), Portal will associate the accounts to that User's information.

# Adding Contacts

**CONTACT DETAILS**

1.6.2	Salutation	Please Select ▾
1.6.3	First Name	<input type="text"/>
1.6.4	Last Name	<input type="text"/>
1.6.5	Contact Title	<input type="text"/>
1.6.6	Telephone	<input type="text"/>
1.6.7	Email Address	<input type="text"/>
1.6.8	Confirm Email Address	<input type="text"/>
1.6.9	Contact Type	Please Select ▾
1.6.10	Primary Company Point of Contact (POC)	<input type="checkbox"/>
1.6.11	Partner Notifications ?	<input type="checkbox"/> Receive All Partner Notifications
1.6.12	Are you this user	<input type="checkbox"/>
1.6.13	Contractor Company Name	<input type="text"/>
1.6.14	Contractor Business ID	<input type="text"/>
1.6.15	Country	Please Select ▾

Please enter all information requested. Rows in blue are required. If you are a contractor, please include the Company name for which you work and your Business Id (Tax Id).

# Adding Contacts II

**CONTACT DETAILS**

1.6.2	Salutation	Mr.
1.6.3	First Name	Charles
1.6.4	Last Name	Marker
1.6.5	Contact Title	
1.6.6	Telephone	
1.6.7	Email Address	
1.6.8	Confirm Email Address	
1.6.9	Contact Type	Company Officer
1.6.10	Primary Company Point of Contact (POC)	<input checked="" type="checkbox"/>
1.6.11	Partner Notifications ?	<input checked="" type="checkbox"/> Receive All Partner Notifications
1.6.12	Are you this user	<input checked="" type="checkbox"/>

[Insert](#) [Cancel](#)

[Cancel Profile](#)

A Company Officer must be designated as the Primary Point of Contact (POC). A Consultant cannot be designated as the Primary POC. Non-Company Officers may elect to "Receive All Partner Notifications" from C-TPAT. If this is not selected then this user will not receive all notifications regarding this account from Portal, if none are selected then only the Primary POC will receive all notifications.

If the contact information you are entering is for yourself, then check the box for "Are you this user". Finally the proximity of the links "Insert" and "Cancel" make them appear as if they are one but they represent two functions. Click "Insert" to add the contact to your application, and "Cancel" to cancel adding the contact to the application.

# Adding Contacts III

## C-TPAT, Company Profile

### COMPANY CONTACTS

Enter all of the contacts from your company that will be participating in the C-TPAT program. Ensure that you have designated a designated Point of Contact (POC) who is an officer of the company.

**NOTE:** New contacts added who are not in the system will receive an email with a Set Password link.

**NOTE:** If you need to change the email address of an existing contact, please delete the existing contact and add a new contact with the new email address.

#### 1.6.1 Contacts

		FIRST NAME	LAST NAME	TITLE	EMAIL ADDRESS	TELEPHONE	CONTACT TYPE
<a href="#">Select</a>	<a href="#">Delete</a>	Charles	Marker	CEO	cemarkerviv@test.test	202-344-1180	Company Officer

### CONTACT DETAILS

[Add Contact](#)

[<< Previous](#) [Next >>](#) [Cancel Profile](#)

Blue rows are required.

Click "Add Contact" to add more contacts to your application. Remember all contacts will have unrestricted access to your application and account.

Click "Next" after all your Partner contacts have been added.

# Add Business Entity Identifiers

**C-TPAT, Company Profile**

**BUSINESS INFORMATION**

1.7.19	International Air Transport Association (IATA) issued Organization Number Code	<input type="text"/>
1.7.20	Federal Maritime Commission (FMC) issued Organization Number	<input type="text"/>
1.7.21	U.S. Department of Transportation (DOT) issued Number	<input type="text"/>
1.7.22	TSA Code	<input type="text"/>
1.7.24	Dun & Bradstreet Number	<input type="text"/>

**Business Entity Identifiers (BEI) are unique identifiers that C-TPAT uses to confirm identity and grant benefits (where applicable) in other CBP systems. Each business entity will require BEI's based on the application type. For example, Importers will require Importer of Record Numbers (IOR), Brokers will require Broker Filer Codes, Highway Carriers will require a Standard Carrier Alpha Code (SCAC). These identifiers are clearly stated in the eligibility criteria. When complete click "Next".**

<< Previous   Next >>   Cancel Profile

Blue rows are required.

# Mutual Recognition Disclosure

**C-TPAT, Company Profile**

**MUTUAL RECOGNITION AGREEMENT**

**Consent for Disclosure of Customs-Trade Partnership Against Terrorism (C-TPAT) Information to the Specified Country Government Officials**

Upon completion of this consent, C-TPAT will be authorized to provide the information outlined below to government officials of the country specified. The information will only be provided to those government officials directly responsible for the program with the United States Customs and Border Protection has entered into Mutual Recognition.

The information that will be released will be:

- Company name
- Company identifiers (i.e. SCAC, IOR, MID)
- Program Status
- Date of membership

Your company has the right to revoke this consent at any time by removing the check block for that country. The revocation will have any effect on any actions taken in reliance on the consent prior to the time consent was revoked.

1.8.1 Agreement ☐ I Agree

1.8.2 Countries

- ☐ Canada
- ☐ Japan
- ☐ Jordan
- ☐ Korea, Republic of
- ☐ New Zealand

<< Previous Next >> Ca

Blue rows are required.

Mutual Recognition (MR) refers to those activities associated with the signing of a document between U.S. Customs and Border Protection (CBP) and a foreign Customs Administration that provides for the exchange of information. The document, referred to as an “arrangement”, indicates that the security requirements or standards of the foreign industry partnership program, as well as its validation or audit procedures, are the same or similar with those of the C-TPAT program. If you would like to participate, please check the “I Agree” box and then select which countries C-TPAT can exchange information with on your behalf. For more information on Mutual Recognition please visit [www.cbp.gov/ctpat](http://www.cbp.gov/ctpat). When completed click “Next”.

# Company Profile Review

## C-TPAT, Company Profile

### Summary

1.9.1	Business Type	Third Party Logistics Provider
1.9.2	Company Name	Test
1.9.3	Doing Business As	Test
1.9.4	Company Telephone	202-344-2915
1.9.5	Company Fax	
1.9.6	Company Website	www.test.com
1.9.7	Company Brief History	This is a test scenario.
1.9.8	Owner Type	Corporation
1.9.9	Business Start Date	03/01/2012
1.9.10	Number of Employees	25

Review all information for accuracy. If mistakes are identified, please go back and correct them as incorrect information could render an inaccurate decision on the application.

### Primary Address



# Company Review II

**Business Type Information**

1.9.23	International Air Transport Association (IATA) issued Organization Number	AA
1.9.24	Federal Maritime Commission (FMC) issued Organization Number	
1.9.25	Department of Transportation (DOT) issued Number	
1.9.26	Transportation Security Administration (TSA) issued Number	
1.9.40	Dun & Bradstreet Number	

**Mutual Recognition Agreement**

COUNTRY NAME

Canada

<< Previous

Next >>

Cancel Profile

Blue rows are required.

If all information is accurate and complete, click "Next".

# C-TPAT Partner Agreement

## C-TPAT, Company Profile

### C-TPAT-PARTNER AGREEMENT TO VOLUNTARILY PARTICIPATE

This Agreement is made between Test (hereafter referred to as "the Partner") and U.S. Customs and Border Protection (hereafter referred to as "CBP").

This Agreement between the Partner and CBP will enhance the joint efforts of both entities to better secure the international supply chain to the United States. CBP and the Partner recognize the need to improve and expand existing security practices in order to achieve a more efficient and compliant import process.

The Partner agrees to develop and implement, within a framework consistent with the listed C-TPAT criteria, a verifiable and documented program to enhance security procedures throughout its supply chain. Where the Partner does not exercise control of a production facility, distribution entity, or process in the supply chain, the Partner agrees to communicate the C-TPAT criteria to those entities.

Specifically, the Partner agrees to:

1. Commit to working with business partners and CBP to meet C-TPAT minimum security criteria.
2. Using the online application process (the C-TPAT Security Link Portal), complete a supply chain security profile and update information regarding the company on an annual basis.
3. Provide complete and accurate company information in response to C-TPAT inquiries.
4. Comply with C-TPAT program requirements to ensure integrity at each stage of the Partner's supply chain.
5. Cooperate with the C-TPAT validation process including assisting the CBP Supply Chain Security Specialists (SCSS) in planning for and conducting site visits.
6. Acknowledge and cooperate with re-validation procedure as deemed necessary by CBP.
7. Maintain security integrity throughout the partnership, conducting periodic self-assessments in line with the changing risks and complexity of international business and trade.
8. Cooperate with CBP, domestic and foreign port authorities, foreign customs administrations and others in the trade community, in advancing the goals of C-TPAT and the Container Security Initiative (CSI).
9. Acknowledge and accept this Agreement to Voluntarily Participate by marking the ☐ I agree ☐ box below.

**Read the "C-TPAT-Partner Agreement To Voluntarily Participate" carefully. If accepted into the program, you agree to many things including ensuring the integrity of your Supply Chain(s) as defined by the minimum security criteria (MSC) which you will address in the second part of the application. In addition, you agree to provide C-TPAT with updates to your Company and Security information (at a minimum on an annual basis).**

# C-TPAT Partner Agreement II

Upon acceptance, review, and/or certification in the C-TPAT program, CBP will:

1. Assign a Supply Chain Security Specialist (SCSS) to work individually with the Partner in C-TPAT procedures.
2. Review the Partner's C-TPAT application within 90 days of receipt.
3. Conduct a C-TPAT validation within one year of the Partner's C-TPAT certification in accordance with section 215 (a) of the Security and Accountability for Every Port Act of 2006 (SAFE Port Act), Pub. L. 109-347, 120 Stat. 1917. CBP will, to the extent possible, be flexible to the Partner's scheduling availability.
4. Provide the Partner with feedback regarding the validation including any security enhancement recommendations, actions required, and recognition of CBP identified best practices.
5. Endeavor to assist the Partner with security threat awareness training and in identifying high risk factors specific to the Partner's operating environment(s).
6. Not request that the Partner take any action which would conflict with any U.S. laws or regulations relevant to the Partner's operations.
7. Provide C-TPAT participant verification capability via the Status Verification Interface (SVI).
8. Conduct re-validations in accordance with time frames set forth in section 219 of the SAFE Port Act.
9. Allow the Partner a reasonable timeframe within which to comply with and/or implement security practices or measures that represent an amendment or change to current C-TPAT imposed requirements.
10. Where feasible and to the extent practical, extend specific C-TPAT benefits to Partners at U.S. ports of entry.
11. Provide the opportunity for C-TPAT Partners to be eligible to participate in the developing Mutual Recognition Program by exchanging information with foreign administrations, which may enable C-TPAT partners to receive more benefits, but only through prior consent of the C-TPAT member.

CBP acknowledges that during the course of the C-TPAT membership relationship between CBP and the Partner, CBP may become privy to proprietary business information. CBP recognizes the confidential nature of such information, and agrees to take the appropriate measures to maintain the confidentiality of this information in accordance with U.S. law.

This Agreement is subject to review by the Partner or CBP and may be terminated with written notice by either party.

This Agreement cannot, by law, exempt the Partner from any statutory or regulatory sanctions in the event that discrepancies are discovered during a physical examination of cargo or the review of documents associated with the Partner's CBP transactions.

Nothing in this Agreement relieves the Partner of any statutory or regulatory responsibilities under United States law, including any requirements imposed under DHS and CBP statutes and regulations.

**This section of the agreement specifies what C-TPAT will do for the Partner/Applicant. C-TPAT will review your application within 90 days of the submission of your Security Profile.**

# C-TPAT Partner Agreement III

Test  
Company Name

Charles Marker  
Company Principle or Representative

Date: 3/1/2012

**1.10.1** Confirm Agreements ☐ I Agree

If you agree to the terms of the agreement click "I Agree" then click "Finish"

<< Previous **Finish** Cancel

Blue rows are required.

# Submitting the Application – If You Already Have a User Account

**C-TPAT, Company Profile**

**CONFIRM**

Confirm that you want to add this company profile to your existing user account, please enter your password for validation.

Password for *cemarkeriv@test.test:*

Click to save the company profile. If you are a new user, you will receive an email with instructions on how to continue with your application.

Blue rows are required.

If your email address has already been associated with another account in portal (active or inactive) you will be prompted to enter the password associated with the email address.

# Submitting the Application – If You Do Not Have A User Account

## C-TPAT, Company Profile

Click to save the company profile. If you are a new user, you will receive an email with instructions on how to continue with your application.

**Save**

<< Previous Cancel

Blue rows are required.

If your email address has not been entered previously, you will be sent an email token to set create a password for your user account to access Portal and your account. All other contacts will be sent a similar email to create a password.

Please ass [industry.partnership@dhs.gov](mailto:industry.partnership@dhs.gov) to your list of trusted contacts in your email system.



# Email with Token to Create a Password for New User Account

From: ☐ OFO-INDUSTRY PARTNERSHIP  
To: ☐ MARKER, CHARLES;  
Cc:  
Subject: C-TPAT Account Created

Sent: Thu 3/8/2012 11:29 AM

A C-TPAT Web Portal user account has been created for you. You will need to set your password.

Your user name is: [cemarkeriv@test.test](mailto:cemarkeriv@test.test)  
Your C-TPAT Partner account number is: 71472608

Your password must comply with the following rules:

- The password is at least eight (8) characters long
- The password contains characters from at least three of the following five categories:
  - English uppercase characters (A - Z)
  - English lowercase characters (a - z)
  - Base 10 digits (0 - 9)
  - Non-alphanumeric (for example: !, \$, #, or %)
  - Unicode characters
- The password does not contain three or more characters from your account name
- The password is not one that you have used in the past ten (10) passwords

To set your password, please click on the following link:  
<http://10.159.13.92/ChangePassword.aspx?token=XnvvsVv%7deR%3a%7d%7bM7%7b>

This link to set your password will expire on Friday, March 09, 2012 11:28 AM (Eastern Time Zone). If you cannot access the link, you can recover your password again at <http://10.159.13.92/PasswordRecovery.aspx>

You will need to complete your company's security profile. Login to the system and select the Partner menu. Then select Security Profile.

**User Name is your email address**

**Please read the read the password compliance rules**

**Click on the link emailed to you to set your password.**



# Accessing Your Security Profile

**C-TPAT, Login**

Welcome to the Customs-Trade Partnership Against Terrorism Security Link Portal

**PLEASE READ:** Before you log in, be sure that you are accessing the C-TPAT portal through the official U.S. Customs and Border Protection (CBP) website. If you access the C-TPAT portal from any other website, CBP cannot ensure your privacy.

To access the C-TPAT portal securely, you should see <https://ctpat.cbp.dhs.gov> in the address bar of your web browser.

**Enter your user name and password to log in:**

User Name

Password

[Forgot your password?](#)

Enter your user name (email address associated with Partner Account) and Password in Portal, then click "Login".

# Accessing Your Security Profile II



MINIMIZE SIDEBAR <<

Home Partner Documents Discussion

CBP News: Global Entry Now Available at Pre-Clearance Airports - 7/26/2011

HOME PARTNER DOCUMENTS DISCUSSION

C-TPAT

Company Profile  
Security Profile  
Status Verification  
Business Entities  
Validation Response

Partner Name: test  
Doing Business As: test  
C-TPAT Account Number: 71704809  
Business Type: Third Party Logistics P  
Account Status: Pre-applicant

SCSS: Unassigned  
Office: Unassigned

Annual Review of Security Profile Due Date ?

Company Legal Name	test
Doing Business As	test
C-TPAT Account Number	71704809

# Criteria Sections

4.6 Conveyance Security, Conveyance Tracking and Monitoring Procedures

4.7 Conveyance Security, Trailer Seals

4.8 Less-than Truck Load (LTL), Padlocks

4.9 Less-than Truck Load (LTL), ISO 17712 seals

4.10 Physical Access Controls, Employees

4.11 Physical Access Controls, Visitors/Vendors/Service Providers

4.12 Physical Access Controls, Unauthorized Entry (Updated)

4.13 Physical Access Controls, Challenging and Removing Unauthorized Persons

4.14 Personnel Security (Updated)

4.15 Personnel Security, Pre-Employment Verification

4.16 Personnel Security, Background Checks/Investigations

4.17 Personnel Security, Personnel Termination Procedures

4.18 Procedural Security (Updated)

4.19 Procedural Security, Documentation Processing

4.20 Procedural Security, Document Review

4.21 Procedural Security, Bill of Lading/Manifesting Procedures

4.22 Procedural Security, Supply Chain Security

Session timeout: 19 mins 50 secs

4.1 Business Partner Requirements, Security Procedures

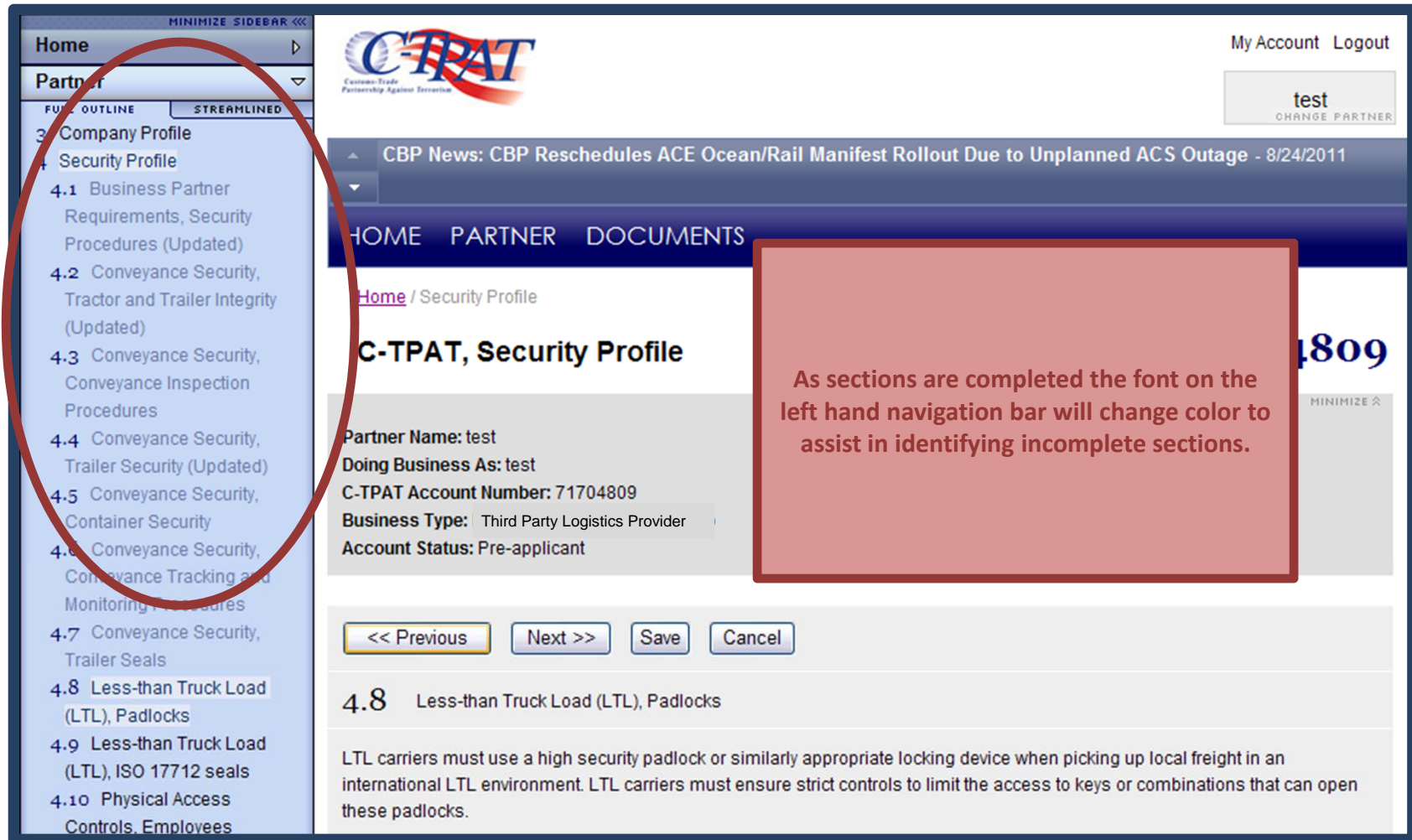
Highway carriers must have written and verifiable processes for screening sub-contracted highway carriers, and service providers, and soundness issues to include security indicators, such as:

- Written procedures must exist for screening the presence of which would trigger additional scrutiny by the carrier.
- For those business partners eligible for C-TPAT, the highway carrier must have documentation (e.g., C-TPAT certification) that the business partner is or is not C-TPAT certified. Non-C-TPAT business partners are not C-TPAT certified.
- Highway carriers should ensure that contract terms and conditions include security requirements. For U.S. bound shipments, C-TPAT highway carriers, must use other C-TPAT approved highway carriers through a written contract.
- Likewise, current or prospective business partners must be screened for security risks. The program being administered by a foreign Customs Administration must be approved by the highway carrier.
- As highway carriers have the ultimate responsibility for all cargo loaded aboard their trailer or conveyance, they must communicate the importance of supply chain security and maintaining chain of custody as fundamental aspects to any company security policy.

Truck Carriers shall have a documented and verifiable process for determining risk throughout their supply chains based on their business model (i.e., volume, country of origin, routing, C-TPAT membership, potential terrorist threat via open source information, having inadequate security, past security incidents, etc. Click on the following link for guidance on conducting a risk assessment: [http://www.cbp.gov/xp/cgov/trade/cargo\\_security/cta/cta\\_guidance.html](http://www.cbp.gov/xp/cgov/trade/cargo_security/cta/cta_guidance.html)

Partner Response:

# Security Profile Completion Indicator



The screenshot displays the C-TPAT (Customs-Trade Partnership Against Terrorism) Security Profile web application. The left-hand navigation bar is highlighted with a red circle, and the 'Security Profile' section is selected. The main content area shows the 'C-TPAT, Security Profile' page for a user named 'test'. The page includes a header with the C-TPAT logo and a 'My Account Logout' link. A news banner at the top reads 'CBP News: CBP Reschedules ACE Ocean/Rail Manifest Rollout Due to Unplanned ACS Outage - 8/24/2011'. The navigation bar has tabs for 'HOME', 'PARTNER', and 'DOCUMENTS'. The main content area displays the user's profile information: Partner Name: test, Doing Business As: test, C-TPAT Account Number: 71704809, Business Type: Third Party Logistics Provider, and Account Status: Pre-applicant. A red box highlights the text: 'As sections are completed the font on the left hand navigation bar will change color to assist in identifying incomplete sections.' The bottom of the page shows navigation buttons: '<< Previous', 'Next >>', 'Save', and 'Cancel'. The current section is '4.8 Less-than Truck Load (LTL), Padlocks', which includes a paragraph about LTL carriers using high security padlocks.

Home

Partner

Full Outline Streamlined

3 Company Profile

4 Security Profile

4.1 Business Partner Requirements, Security Procedures (Updated)

4.2 Conveyance Security, Tractor and Trailer Integrity (Updated)

4.3 Conveyance Security, Conveyance Inspection Procedures

4.4 Conveyance Security, Trailer Security (Updated)

4.5 Conveyance Security, Container Security

4.6 Conveyance Security, Conveyance Tracking and Monitoring Procedures

4.7 Conveyance Security, Trailer Seals

4.8 Less-than Truck Load (LTL), Padlocks

4.9 Less-than Truck Load (LTL), ISO 17712 seals

4.10 Physical Access Controls, Employees

My Account Logout

test

CHANGE PARTNER

CBP News: CBP Reschedules ACE Ocean/Rail Manifest Rollout Due to Unplanned ACS Outage - 8/24/2011

HOME PARTNER DOCUMENTS

Home / Security Profile

C-TPAT, Security Profile

Partner Name: test

Doing Business As: test

C-TPAT Account Number: 71704809

Business Type: Third Party Logistics Provider

Account Status: Pre-applicant

<< Previous Next >> Save Cancel

4.8 Less-than Truck Load (LTL), Padlocks

LTL carriers must use a high security padlock or similarly appropriate locking device when picking up local freight in an international LTL environment. LTL carriers must ensure strict controls to limit the access to keys or combinations that can open these padlocks.

As sections are completed the font on the left hand navigation bar will change color to assist in identifying incomplete sections.

# Completing the Security Profile

[Home](#) / Security Profile

## C-TPAT, Security Profile

**71704809**

**Partner Name:** test  
**Doing Business As:** test  
**C-TPAT Account Number:** 71704809  
**Business Type:** Third Party Logistics Provider  
**Account Status:** Pre-applicant

Answer the last 2 questions, which are not criteria related but are included in the profile. Once completed, click "Submit" to submit your application.

<< Previous

Have you undergone an internal or external security audit? ☐

Is the audit documented? ☐

Click the Submit link below to submit the security profile to C-TPAT for review.

<< Previous Submit Cancel

24x7 Help Desk: 1-866-538-1172  
Choose Option 4



# Application/Security Profile Submitted

## What happens next?

- Upon submission, your status will change to “Applicant”. Your account will be assigned to one of seven C-TPAT field offices and to a Supply Chain Security Specialist (SCSS). Your SCSS is your primary contact at C-TPAT.
- The SCSS will review your application (within 90 days of submission) for compliance with the Minimum Security Criteria for that business type.
- The SCSS could reject a section(s) of the Security Profile, in which case they will provide guidance about the rejection. At this point, your company can decide whether or not to correct the rejected areas and proceed with the application. If the applicant chooses to proceed, they can make corrections or updates to the sections that were rejected and resubmit for review.

# Questions

- Please email [industry.partnership@dhs.gov](mailto:industry.partnership@dhs.gov)